



APR 16 2001

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/803,405	03/09/2001	Harry W. Schmidt	T268.12-0048

CONFIRMATION NO. 4795

FORMALITIES LETTER



OC000000005949064

WESTMAN, CHAMPLIN & KELLY
A PROFESSIONAL ASSOCIATION
INTERNATIONAL CENTRE, SUITE 1600
900 SECOND AVENUE SOUTH
MINNEAPOLIS, MN 55402-3319

Date Mailed: 04/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$40.
 - \$40 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 460.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/04/2001 AGOITOM 00000029 09803405
01 FC:101 710.00 DP
02 FC:105 130.00 DP



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named
Inventor : Harry W. Schmidt et al.

Appln. No.: 09/803,405

Filed : March 9, 2001

For : VIAL HANDLING SYSTEM WITH
IMPROVED MIXING MECHANISM

Docket No.: T268.12-0048

Group Art Unit:1764

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION - FILING DATE GRANTED
UNDER 37 C.F.R. §§ 1.53(f) AND 1.16(e)**

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING
SENT BY U.S. MAIL, FIRST CLASS, TO THE
ASSISTANT COMMISSIONER FOR PATENTS,
WASHINGTON, D.C. 20231, THIS

30th DAY OF April, 2001.

PATENT ATTORNEY

Sir:

In response to the Notice to File Missing Parts of
Application - Filing Date Granted dated April 9, 2001, Applicant
encloses the following documents to complete the above-identified
patent application filed March 9, 2001:

1. Our check in the amount of \$840.00 (to cover the filing
fee \$710.00 and surcharge fee of \$130.00).
2. Combined Declaration and Power of Attorney executed by
the inventor(s).
3. Fee Calculation Sheet (duplicate).
4. Notice to File Missing Parts of Application-Filing Date
Granted.

The Director is authorized to charge payment of any additional fees associated with this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this sheet is enclosed.

Respectfully submitted,
WESTMAN, CHAMPLIN & KELLY, P.A.

By: 

Christopher R. Christenson, Reg. No. 42,413
Suite 1600 - International Centre
900 Second Avenue South
Minneapolis, Minnesota 55402-3319
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CRC:ajm

FEE TRANSMITTAL



Complete if Known

Application No.	09/803,405
Filing Date	March 9, 2001
First Named Inventor	Harry W. Schmidt et al.
Title	VIAL HANDLING SYSTEM WITH IMPROVED MIXING MECHANISM
Group Art Unit	1764
Examiner Name	
Atty. Docket Number	T268.12-0048

Total Amount of Payment \$ 840

METHOD OF PAYMENT (Check One)

1. ☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123.
Westman, Champlin & Kelly, P.A.

2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee	Small Entity Fee	Code	Fee Description
710	355	101	<input checked="" type="checkbox"/> Utility Filing Fee
320	160	106	<input type="checkbox"/> Design Filing Fee
710	355	108	<input type="checkbox"/> Reissue Filing Fee
150	75	114	<input type="checkbox"/> Prov. Filing Fee
Subtotal (1) \$ 710			

2. EXTRA CLAIM FEES

	Number Claims	Prior**	Extra	Fee from Below	Fee Paid
Total	18	20	0	18	0
Indep.	3	3	0	80	0

Multiple Dependent Claims

** Insert 3 and 20, or number previously paid if greater; Reissue see below

Large Entity Fee	Small Entity Fee	Code	Description
18	9	103	Claims in excess of 20
80	40	102	Independent claims in excess of 3
270	135	104	Multiple Dependent Claims
80	40	109	Reissue Independent Claims over Original Patent
18	9	110	Reissue claims in excess of 20 and over original patent

Subtotal (2) \$ 0

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Code	Fee Description	Fee Paid
130	65	105	Surcharge - Late filing fee or oath	130
50	25	127	Surcharge - Late provisional Filing Fee or cover sheet	
130	130	139	Non-English specification	
2,520	2,520	147	For Filing a Request for Reexamination	
110	55	115	Extension for reply within first month	
390	195	116	Extension for reply within second month	
890	445	117	Extension for reply within third month	
1,390	695	118	Extension for reply within fourth month	
1,890	945	128	Extension for reply within fifth month	
310	155	120	Filing a brief in support of an appeal	
270	135	121	Request for oral hearing	
110	55	148	Terminal Disclaimer Fee	
110	55	140	Petition to Revive - unavoidable	
1,240	620	141	Petition to Revive - unintentional	
1,270	650	142	Utility/Reissue issue fee (inc. advance copies)	
470	250	143	Design issue fee (inc. advance copies)	
130	130	122	Petitions to the Commissioner	
50	50	123	Petitions related to provisional applications	
180	180	126	Submission of Information Disclosure Statement	
40	40	581	Recording each patent assignment per property (times number of properties)	
Other Fee (specify) _____				

Subtotal (3) \$130

Signature (Christopher R. Christenson)

Reg. No. 42,413

Date 4-30-01

Deposit Account No. 23-1123